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CASE 4-33162A



FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

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May 30, 2006
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

SAKAKI ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP04/003806

FILED: 8 APRIL 2004

U.S. APPLICATION NO: 10/550,776

35 USC §371 DATE: 27 SEPTEMBER 2005

FOR: 11-PHENYL-DIBENZODIAZEPINE DERIVATIVES AS RXR-
ANTAGONISTS

MS: Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

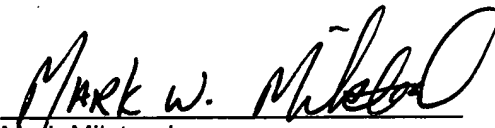
In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The asterisked references were cited in the International Search Report and since copies of said references were forwarded by the International Bureau, only copies of the non-asterisked references are enclosed.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis
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Attorney for Applicants
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Date: May 30, 2006

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.

4-33162A

APPLICATION NO.

10/550,776

APPLICANT

SAKAKI ET AL.

FILING DATE

SEPTEMBER 27, 2005

Group



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	0 906 907 *	9/20/00	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AN	1 036 565 *	9/20/05	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	AO	WO 02/072528 *	9/19/02	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AP	WO 00/53562 *	9/14/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	Kagechika, H. et al., "Retinoid X Receptor-Antagonistic Diazepinylbenzoic Acids", Chem. Pharm. Bull., Vol. 47, No. 12, pp. 1778-1786 (1999) *
	AS	Umemiya, H. et al., "Regulation of Retinoidal Actions by Diazepinylbenzoic Acids. Retinoid Synergists which Activate the RXR-RAR Heterodimers", J. Med. Chem. Vol. 40, 4222-4234 (1997)
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.